**Form SMMD Reporting Standards Attestation**

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| --- | --- |
| Name of Reporting Institution |  |
| SMMD sector(s) reported | Unsecured only / Secured only / Both |

The Reporting Standards Attestation process serves to confirm a Reporting Institution’s adherence to the SMMD Reporting Instructions, and provides record of any particular areas where the Reporter has previously agreed with the Bank that its reporting will deviate from the standard requirements.

*Where there are particular areas where Reporting Institution has previously agreed with the Bank that reporting will deviate from the standard requirements, these are stated below.*

*This is a live document. If a Reporting Institution becomes aware of any deviations from the agreed requirements, they should notify the Bank as soon as practicable. Any changes to the reporting standards or remediation plans agreed bilaterally between the Bank and the Reporting Institution should be reflected within a new version. Absent such changes, the document will be reviewed on at least an annual basis, in June, confirming continued adherence to reporting instructions and providing relevant evidence to the Bank to re-confirm any previously granted exemptions.*

Statements

* By completing this attestation we confirm appropriate assurance has been undertaken and processes are in place to ensure that all eligible transactions are captured in the reporting to the Bank on an ongoing basis.
* In the space below, please state any previously agreed exemptions from the Form SMMD minimum reporting requirements. If there are no exemptions, please state “None”.

*[Examples include: whether any EEA branches of the legal entities have been exempted from reporting following proof of negligible activity; whether it has been agreed that due to secrecy laws in a particular jurisdiction, some counterparties will only be identified by their location and sector, rather than by name or LEI.]*

* In the space below, please state any over-reporting above the minimum reporting requirements. If there is no over-reporting, please state “None”.

*[Examples include: reporting of transactions from non-EEA branches; reporting transactions secured against other sterling-denominated fixed income securities not eligible to be included in the UBG stock DBV class (secured reporting only).]*

* In the space below, please state any other deviations from the standard reporting requirements, previously agreed with the Bank. If there are no other deviations, please state “None”.
* Confirm authorisation of employees to make protected whistleblowing disclosures relating to Form SMMD to the Bank, by stating “Confirmed” in the space below.

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| --- | --- |
| Reporter Signatory  | Name: Job Title:Senior Management Function: Email:Telephone:Address: Signature:Date: |
| Reporter Signatory  | Name:Job Title:Senior Management Function: Email:Telephone:Address: Signature:Date: |
| Bank of England Signatory | Name: Email: Telephone: Address: Bank of England,  Threadneedle St,  London,  EC2R8AHSignature:Date:  |

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