



Skilled Persons Reports commissioned in Q3 2019/20

Quarter 3 (SEP - NOV)	Firm Business Type		
Area	Banks and Building Societies	Insurers (Note 4)	Total
Lot B: Governance and individual accountability	-	1	1
Lot C: Controls and risk management frameworks	-	2	2
Lot F: Prudential - Deposit takers, recognised clearing houses and PRA-designated investment firms	1	-	1
Lot G: Prudential - Insurance	-	1	1
Lot J: Technology and information management	3	-	3
<b>Total</b>	<b>4</b>	<b>4</b>	<b>8</b>

**Notes:**

1. Figures are for the quarter ended on 30 November 2019.
2. Of the eight Skilled Person Reviews in Q3, two were commissioned using the PRA's power, introduced in the Financial Services Act 2012, to contract directly with a Skilled Person. [Supervisory Statement 4/17 'Reports by skilled persons'](#) explains the different appointment processes of skilled persons.
3. A description of the different Skilled Person Lots can be found on the Bank of England's website in the 'Skilled Persons Review' section at <https://www.bankofengland.co.uk/prudential-regulation/supervision>.
4. Figures for insurers include the Society of Lloyd's and Lloyd's managing agents.