

**Curriculum Vitae: Professor Julia Black FBA**

Pro Director for Research and Professor of Law, London School of Economics and Political Science, Houghton Street, London WC2A 2AE, UK

Website: <http://www.lse.ac.uk/collections/law/staff/julia-black.htm>

**Summary biography**

Professor Julia Black has senior executive experience at one of the world's foremost social science universities, non-executive Board level experience gained in the public sector, and is a leading academic in the field of law and regulation.

She has served as Pro Director for Research (PDR) at the LSE since January 2014, and from September 2016 to September 2017 she was also Interim Director of the LSE. As PDR, she is responsible for the LSE's research strategy, funding and performance, engagement and impact activities, and research commercialisation initiatives. She also leads on managing LSE's strategic risks, EDI initiatives and sundry other projects including governance reform and OFS registration, and is closely engaged with the current director on the renewal of LSE's strategy to 2030. She currently manages four Divisions (Library, Research, Communications and the PhD Academy), and as Interim Director had responsibility for all the activities of the School.

Her academic work on regulation spans law, political science, public policy and sociology, and she has written over fifty books, articles and policy reports on issues of regulatory design and dynamics. She is a fellow of the British Academy and an Honorary Fellow of Lincoln College, Oxford.

Julia also engages significantly with regulatory and policy practitioners and she has worked for over 20 years at a senior level advising policy makers, consumer bodies and regulators on issues of regulatory strategy and design in countries including the UK, Ireland, Australia, Canada and New Zealand, and with the OECD.

At Board level, Julia has been a lay member of the board of the Solicitors Regulation Authority since January 2014 and chairs its Policy Committee. She is a board member of UK Research and Innovation, and chair of the British Academy's Audit and Risk Committee. Since June 2018 she has been an external member of the Bank of England's SONIA Oversight committee.

**Employment**

Jan 2014- present	Pro Director / Pro Vice Chancellor of Research, LSE (responsible for research, and knowledge engagement and impact, and research commercialisation)
Sept 2016- Sept 2017	Interim Director / Vice Chancellor, London School of Economics and Political Science (LSE)
Jan 2013- present	Board member, Solicitors Regulation Authority and chair of the Policy Committee
Sept 1994- present	Professor of Law, LSE (appointed as a lecturer in 1994)

## **Selected Publications**

### **Books**

- *Regulatory Innovation: A Comparative Analysis* (Cheltenham: Edward Elgar, October 2005); co-edited with M. Lodge and M. Thatcher (c.250pp)
- *Rules and Regulators* (Oxford University Press, Socio-Legal Studies Series, 1997) (280pp.)

### **Articles in refereed journals**

- ‘“Says Who?” Liquid Authority and Interpretive Control in Transnational Regulatory Regimes’ (2016) *International Theory* 1-25
- ‘Driving priorities in risk-based regulation: what’s the problem?’ (2016) 43 (4) *Journal of Law and Society* 565-595 (with R. Baldwin)
- ‘Learning from Regulatory Disasters’ (2014) 10(3) *Policy Quarterly* 3-11
- ‘Risk regulation and transnationality: institutional accountability as a driver of innovation’ (2014) 3 (2) *Transnational Environmental Law* 373-390 (with R. Baldwin and G.O’Leary).
- ‘Reconceiving Markets: From the Economic to the Social’ (2013) 13(2) *Jnl of Corporate Law Studies* 401-442
- ‘Transnational business governance interactions: conceptualization and framework for analysis’ (2013) *Regulation and Governance* (with B. Eberlein, K. Abbot, E. Meidinger, and S. Wood
- ‘Paradoxes and Failures: New Governance Techniques and the Financial Crisis’ (2012) 75(6) *Modern Law Review* 1038-1064
- ‘When Risk Based Regulation Aims Low: A Strategic Framework’ (2012) 6 (2) *Regulation and Governance* 131-148 (with R. Baldwin)
- ‘When Risk Based Regulation Aims Low: Challenges and Approaches’ (2012) 6 (1) *Regulation and Governance* 1-21 (with R. Baldwin)
- ‘Really Responsive Risk Based Regulation’ (2010) 32(2) *Law and Policy* 181-213 (with R. Baldwin)
- ‘Constructing and contesting legitimacy and accountability in polycentric regulatory regimes’ (2008) 2 *Regulation & Governance* 137–164
- ‘Forms and Paradoxes of Principles Based Regulation’ (2008) 3(4) *Capital Markets Law Journal* 425-458
- ‘Managing Regulatory Risks and Defining the Parameters of Blame: the Case of the Australian Prudential Regulation Authority’ (2006) *Law and Policy* 1-27
- ‘The Emergence of Risk Based Regulation and the New Public Management in the UK’ [2005] *Public Law* 512-549
- ‘Enrolling Actors in Regulatory Processes: Examples from UK Financial Services Regulation’ [2003] *Public Law* 62-90
- ‘Mapping the Contours of Contemporary Financial Services Regulation’ (2002) 2 *Journal of Corporate Law Studies* 253-288
- ‘Critical Reflections on Regulation’ (2002) 27 *Australian Journal of Legal Philosophy* 1-37
- ‘Decentring Regulation: Understanding the Role of Regulation and Self Regulation in a “Post-Regulatory” World’ (2001) 54 *Current Legal Problems* 103-147

### **Chapters in edited books**

- ‘Regulatory Styles and Supervisory Strategies’ in the *Oxford Handbook of Financial Regulation*, edited by N. Moloney, E. Ferran and H. Jackson (OUP, 2015)

- ‘Calling Regulators to Account: Challenges, Capacities and Prospects’ in N. Bamforth and P. Leyland, *Accountability in the Contemporary Constitution* (OUP, 2013)
- ‘Restructuring Global and EU Financial Regulation: Character, Capacities and Learning’ in G.Ferrarini, K.J.Hopt and E.Wymeersch (eds), *Rethinking Financial Regulation and Supervision in Times of Crisis* (OUP 2012)
- ‘Outcomes Focused Regulation – The Historical Context’ in A. Hopper QC and G. Treverton-Jones QC, *Outcomes-Focused Regulation* (The Law Society, London, 2011)
- ‘The Rise (and Fall?) of Principles Based Regulation’ in K. Alexander and N. Moloney (eds) *Law Reform and Financial Markets* (Cheltenham: Edward Elgar, 2011)
- ‘The Credit Crisis and the Constitution’ in D. Oliver, T. Prosser and R. Rawlings (eds), *The Regulatory State* (Oxford: OUP, 2010)
- ‘The Role of Risk in Regulatory Processes’ in R. Baldwin, M. Cave and M. Lodge (eds), *Oxford Handbook of Regulation* (Oxford: OUP, 2010)

### **Other engagement activities**

Initiator and Chair of CARR’s Regulators’ Forum (2014 onwards) – a forum for non-economic regulators to share experiences and best practices on selected issues of common interest

Frequent speaker at industry and regulatory practitioner events, principally on general issues of regulatory design and technique, and more specifically in financial services and legal services regulation

General Editor, *Modern Law Review* Ltd, a leading law journal - member of the editorial committee since 1997; articles editor 2000-2003; company secretary 2009-13; General Editor 2013-2018

Peer reviewer for research councils in the UK, Canada, the Netherlands and Luxembourg and for multiple journals and publishers

### **Visiting appointments**

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| 2014 | Sir Frank Holmes Visiting Professor in Public Policy, University of Wellington, Victoria, NZ, March-April 2014 |
| 2008 | Visiting Fellow, All Souls College, Oxford, Jan – March 2008   |
| 2007 | Visiting Professor, University of Sydney, Faculty of Economics and Business                                    |

### **Selected Honours and Prizes**

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| 2017 | Elected Honorary Fellow of Lincoln College, Oxford  |
| 2016 | Lifetime achievement award - European Consortium for Political Research Standing Group on Regulation and Governance |
| 2015 | Elected Fellow of the British Academy   |

### **Selected Grants**

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| 2010 | Canadian Social Sciences and Humanities Research council (collaborative project academics in Canada and the UK for research on transnational business regulation) |
| 2002 | ESRC grant for research on regulatory innovation  |

2001 British Academy / Leverhulme Trust Senior Research Fellowship

### **Selected Professional Activities and Consultancies since 2010**

- Member of the Stern Review of the UK's Research Excellence Framework (2016)
- Advisor to Ofgem on revising its licences with electricity providers to be principles-based (2015- ongoing)
- Member of the organising committee for the Bank of England's Open Forum, November 2015
- Member of the Academic Advisory Group to the Bank of England's Fair and Effective Markets Review, Oct 2014-June 2015
- Adviser to the Defra External Panel on Smarter Environmental Legislation (2014)
- National Audit Office – member of the external panel on the VFM reviews of the Financial Conduct Authority (2013-6)
- Jersey Financial Services Commission -review, challenge and advice on the development of their risk-based approach to prudential supervision (2013)
- Parliamentary Commission on Banking Standards – written and oral evidence on sanctions for directors and senior managers of banks (January 2013)
- Ontario Securities Commission - advice on development of risk based systems of regulation (2012)
- OECD, review of international regulatory cooperation– working in with OECD Regulatory Policy Group (2012-13)
- Environmental Protection Agency (Eire), development of a risk based inspection system for low risks (2012)
- National Audit Office – member of expert panel on VFM review of The Pensions Authority's regulation of defined-contribution occupational pension funds (2012)
- Consultant to Environment Agencies of England & Wales, Scotland, Northern Ireland and Republic of Ireland on developing a framework for the regulation of low risk sites (SNIFFER Project ER 13) (with Robert Baldwin) (April 2010-October 2011): report and framework published at [www.sniffer.org](http://www.sniffer.org)
- Member of the Working Group on for A Common Framework of Principles for direct-to-consumer genetic testing services, Department of Health (Jan 2009 - July 2010)

### **Education**

1990-1994	D.Phil, Oxford University, The Formation of the Conduct of Business Rules under the Financial Services Act 1986 (scholar)
1988-1989	Law Society Final's Course (Manchester Polytechnic) (solicitor's qualification exam)
1985-1988	BA (Hons) Jurisprudence, Oxford University, First Class (scholar and exhibitor, prize winner for Administrative Law Finals paper)

### **Interests**

Travel; marathon running; mountain walking; sculling; cross-country and downhill skiing