

**Table 1: Classes of financial instruments covered by CME Clearing Europe Limited authorisation\***

Please refer to Table 2 below for more information on the definition of the classes				Date of Authorisation
MiFID financial instruments	<b>Securities</b> (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC <sup>1</sup>	
			RM <sup>2</sup>	
		Debt	OTC	
			RM	
	<b>Derivatives</b> (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID)	Equity	OTC	
			RM	
		Debt	OTC	
			RM	
		Interest Rate	OTC	<del>4 August 2014</del>
			RM	<del>18 September 2015</del>
		Inflation Rate	OTC	
			RM	
		Credit	OTC	
			RM	
		Currencies	OTC	
			RM	<del>4 August 2014</del>
		Commodities	OTC	<del>4 August 2014</del>
			RM	4 August 2014
		Emission /Climatic	OTC	
			RM	<del>30 October 2015</del>
Freight	OTC	<del>4 August 2014</del>		
	RM			
Other	<b>Repo</b>	Equity Debt	OTC	
Other	<b>Securities Lending</b>	Equity Debt	OTC	
Other	<b>Collateralised € Deposits</b>	N.A.	OTC	
Other	<b>Derivatives that are not MiFID financial instruments</b>	Commodities, Emission/ Climatic, Freight		
Other	<b>Assets that are not MiFID financial instruments</b>	Commodities, Emission/ Climatic, Freight	OTC	<del>9 January 2015</del> <sup>3</sup>

\* Authorization for certain classes of financial instruments was renounced and subsequently withdrawn under Article 20 of EMIR on 23 and 30 June 2017 (please also refer to the Public register for the clearing obligation under EMIR on ESMA's website).

<sup>1</sup> Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>2</sup> Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

<sup>3</sup> Precious metal spot contracts (not being financial instruments under Section C of Annex 1 of MiFID)

**Table 2: Definition of the classes of financial instruments covered by CME Clearing Europe Limited's authorisation**

			Definitions		
MiFID financial instruments	Securities	Equity	OTC	Equity securities (including indices of equity securities) the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
			RM	Equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Debt	OTC	Debt securities the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
			RM	Debt securities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
	Derivatives	Equity	OTC	Derivative contracts relating to equity securities (including indices of equity securities) which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Debt	OTC	Derivative contracts relating to debt instruments which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to debt instruments the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Interest Rate	OTC	Derivative contracts relating to interest rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to interest rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Inflation Rate	OTC	Derivative contracts relating to inflation rates or other economic variables which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to inflation rates or other economic variables the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Credit	OTC	Derivative contracts relating to the transfer of credit risk which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to the transfer of credit risk the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Currencies	OTC	Derivative contracts relating to currencies which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to currencies the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Commodities	OTC	Derivative contracts relating to commodities which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to commodities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Emission/ Climatic	OTC	Derivative contracts relating to climatic variables or emission allowances which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to climatic variables or emission allowances the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Freight	OTC	Derivative contracts relating to freight rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to freight rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Other			Services in respect of the clearing of instruments which are not a financial instrument under Section C of Annex I of MiFID