

Table 1: Classes of financial instruments covered by ICE Clear Europe Limited authorisation

Please refer to Table 2 below for more information on the definition of the classes				Date of Authorisation
MiFID financial instruments	Securities (financial instruments referred to in points 1, 2 and 3 of Section C of Annex I of MiFID)	Equity	OTC ¹	
			RM ²	
		Debt	OTC	
			RM	
	Derivatives (financial instruments referred to in points 4 to 10 of Section C of Annex I of MiFID)	Equity	OTC	
			RM	19 September 2016
		Debt	OTC	
			RM	19 September 2016
		Interest Rate	OTC	
			RM	19 September 2016
		Inflation Rate	OTC	
			RM	
		Credit	OTC	19 September 2016
			RM	
		Currencies	OTC	19 September 2016
			RM	
		Commodities	OTC	
			RM	19 September 2016
		Emission /Climatic	OTC	
			RM	19 September 2016
Freight	OTC			
	RM	19 September 2016		
Other	Repo	Equity Debt	OTC	
Other	Securities Lending	Equity Debt	OTC	
Other	Collateralised € Deposits	N.A.	OTC	
Other	Derivatives that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight		
Other	Assets that are not MiFID financial instruments	Commodities, Emission/ Climatic, Freight		19 September 2016 ³

¹ Over The Counter (OTC): the execution of the security or derivative does not take place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

² Regulated Market (RM): the execution of the security or derivative takes place on a regulated market as within the meaning of Article 4(1)(14) of Directive 2004/39/EC or on a third country market considered as equivalent to a regulated market in accordance with Article 19(6) of Directive 2004/39/EC (Article 2(7) of EMIR)

³ Emissions allowances and spot gas contracts (not being financial instruments under Section C of Annex 1 of MiFID)

Table 2: Definition of the classes of financial instruments covered by ICE Clear Europe Limited's authorisation

			Definitions		
MiFID financial instruments	Securities	Equity	OTC	Equity securities (including indices of equity securities) the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
			RM	Equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Debt	OTC	Debt securities the execution of which does not take place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
			RM	Debt securities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
	Derivatives	Equity	OTC	Derivative contracts relating to equity securities (including indices of equity securities) which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to equity securities (including indices of equity securities) the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Debt	OTC	Derivative contracts relating to debt instruments which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to debt instruments the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Interest Rate	OTC	Derivative contracts relating to interest rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to interest rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Inflation Rate	OTC	Derivative contracts relating to inflation rates or other economic variables which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to inflation rates or other economic variables the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Credit	OTC	Derivative contracts relating to the transfer of credit risk which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to the transfer of credit risk the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Currencies	OTC	Derivative contracts relating to currencies which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to currencies the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Commodities	OTC	Derivative contracts relating to commodities which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to commodities the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Emission/ Climatic	OTC	Derivative contracts relating to climatic variables or emission allowances which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to climatic variables or emission allowances the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Freight	OTC	Derivative contracts relating to freight rates which are OTC derivative contracts as within the meaning of Article 2(7) of Regulation 648/2012	
			RM	Derivative contracts relating to freight rates the execution of which takes place on a regulated market as within the meaning of Article 4(1)(14) of MiFID	
		Other			Services in respect of the clearing of instruments which are not a financial instrument under Section C of Annex I of MiFID