

# Register of UK CSDs authorised to offer services in the UK

As required under Articles 21 and 58 of the onshored CSDR

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## I. UK CSDs (authorised under Art 16/Art 54 of CSDR) – general information

| Competent Authority | CSD Name                               | LEI of CSD            | Initial Authorisation, Extension or Outsourcing of Activities and Services |   |   |  | Withdrawal of Authorisation |   |   |
|---------------------|--|-----------------------|--|---|---|--|-----------------------------|---|---|
|                     |  |                       | Date   | Specify the type of decision and if made under Art 16, 19, 54 or 56 of CSDR | Services covered (Annex to CSDR)  | If applicable, name of entity to which the services or activities are outsourced | Date                        | Specify if under Art 20 or Art 57 of CSDR | Services for which authorisation is withdrawn |
| Bank of England     | Euroclear UK and International Limited | 549300M5 YAD51WH JD55 | 08/12/2020   | Initial authorisation under Art 16 CSDR                                     | <p><b>Core Services listed in Section A of the Annex to the CSDR that EUI Ltd is authorised to provide:</b></p> <ol style="list-style-type: none"> <li>1. Initial recording of securities in a book-entry system ('notary service');</li> <li>2. Providing and maintaining securities accounts at the top tier level ('central maintenance service');</li> <li>3. Operating a securities settlement system ('settlement service').</li> </ol> <p>Including those provided or performed through the direct links between EUI Ltd and the following central securities depositories:</p> <ul style="list-style-type: none"> <li>• The Depositary Trust Company</li> <li>• Euroclear Bank SA/NV</li> </ul> <p><b>Non-banking-type Ancillary Services permitted under but not explicitly listed in Section B of the Annex to the CSDR that EUI is authorised to provide:</b></p> <p>Clearing support services, including netting of settlement instructions; 'Stock Loan' (SLO) transaction functions; collateral management functions; Providing information, data and statistics to participants and third parties; Tax services, including the assessment and collection of stamp duty and SDRT.</p> |  |                             |   |   |

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|  |  |  |  | <p><b>Non-banking-type Ancillary Services explicitly listed in Section B of the Annex to the CSDR that are not subject to authorisation but have been notified to the Bank:</b></p> <p>1. Services related to the settlement service, such as:</p> <ul style="list-style-type: none"> <li>a. Settlement matching, instruction routing, trade confirmation, trade verification.</li> </ul> <p>2. Services related to the notary and central maintenance services, such as:</p> <ul style="list-style-type: none"> <li>a. Services related to shareholders' registers;</li> <li>b. Supporting the processing of corporate actions, including tax, general meetings and information services;</li> <li>c. New issue services, including allocation and management of ISIN codes and similar codes;</li> <li>d. Instruction routing and processing, fee collection and processing and related reporting.</li> </ul> <p>3. Establishing CSD links, providing, maintaining or operating securities accounts in relation to the settlement service, collateral management, other ancillary services.</p> <p>4. Any other services, such as:</p> <ul style="list-style-type: none"> <li>a. Providing regulatory reporting;</li> <li>b. Providing information, data and statistics to market/census bureaus or other governmental or inter-governmental entities;</li> </ul> |  |  |  |  |
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## II. UK CSDs (authorised under Art 16/Art 54 of CSDR) – additional information on each CSD

| Securities settlement system(s) operated by the CSD | Classes of financial instruments   | CSD links  |   |   | Designated credit institutions    |                                   |
|---|--|--|---|---|-----------------------------------|-----------------------------------|
|   |  | Name of the other CSDs involved in each link   | Specify for each link if the other CSDs involved in the link act as "receiving CSDs" or "requesting CSDs" | Type of link (standard link, indirect link, interoperable link) | Name of the credit institution(s) | Services provided (Annex to CSDR) |
| CREST (UK)  | Specify the type of financial instruments as referred to in Article 42(1)(d)(i) of the Commission Delegated Regulation (EU) 2017/392<br>a) transferable securities referred to in point (a) of Article 4(1)(44) of Directive 2014/65/EU,<br>b) sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,<br>c) transferable securities referred to in point (b) of Article 4(1)(44) of Directive 2014/65/EU, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,<br>d) transferable securities referred to in point (c) of Article 4(1)(44) of Directive 2014/65/EU,<br>e) exchange-traded funds as defined in point (46) of Article 4(1) of Directive 2014/65/UE (ETF),<br>f) units in collective investment undertakings, other than ETFs,<br>g) money-market instruments, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU,<br>h) emission allowances | <ul style="list-style-type: none"> <li>The Depository Trust Company</li> <li>Euroclear Bank SA/NV</li> </ul> |   | All links are standard, direct links                            | N/A                               | N/A                               |