



BANK OF ENGLAND

Bank of England Settlement Internalisation reporting – List of questions

This document provides a list of the questions included in the Bank of England Settlement Internalisation Survey. Please contact us by 31 December at InternalisedSettlement-Enquiries@bankofengland.co.uk if you have not been contacted already and think your firm might need to report settlement internalisation activity. We will send you a link to an online survey tool.

This survey will enable the Bank of England to engage directly with firms to help them prepare to report settlement internalisation activity from July 2019.

If there are particular topics you would like subsequent communications from the Bank to cover, please set these out in your survey response.

Mandatory questions are indicated with an asterisk ().*

1. Please provide the full name of your firm. *

Answer options:

Free text

2. Please confirm whether your firm is dual regulated by the FCA and PRA, or whether it is solo regulated by the FCA. *

Answer options:

Dual

Solo

3. Please provide the Firm Reference Number (FRN – this is the individual number allocated to your firm by the FCA and/or PRA). *

Answer options:

Free text

4. Please provide the Legal Entity Identifier (LEI; if known) for your firm.

Answer options:

Free text

5. Do you anticipate your firm will need to report settlement internalisation activity to the Bank of England from July 2019? *

Answer options:

Yes ==> Question 6

No ==> Further comment section and end of survey

6. E-mail addresses for Primary (mandatory *) Point of Contact - up to three additional Points of Contact can be added.

Answer options:

1.

2.

3.

4.

7. Estimated average monthly transactional volume of settlement internalisation activity. *

Answer options:

<49

50-499

500-999

1,000-9,999

10,000+

Not currently known

8. Estimated average monthly value of settlement internalisation activity. *

Answer options:

<£5m

£5m-£49m

£50m-£499m

£500m-£1bn

£1bn-£10bn+

Not currently known

If you have any further comments, please complete the optional questions below before submitting your response.

Preferred topics for future communications from the Bank of England:

Answer options:

Free text

Other comments:

Answer options:

Free text