

**DWF LOANS: AGREED UPON PROCEDURE CHECKLIST FOR ASSET FINANCE****New Assets Table**

No	Criterion	Level of assurance
1.	Account Number	The account number must agree to the primary system of record for the loan.
2.	Originator	The originator must agree to the primary system of record for the loan.
3.	Borrower Identifier / Name	The borrower Name in the tape must be agreed to the borrower's name: <ul style="list-style-type: none"> <li>• held on the primary system of record for the lease;</li> <li>• the paper record of the application, offer, and valuation; and</li> <li>• any registered legal charge.</li> </ul>
4.	Borrower Address / Postcode	The property address must be agreed to: <ul style="list-style-type: none"> <li>• the address held on primary system of record for the loan;</li> <li>• the paper record of the application, offer, and valuation; and</li> <li>• any registered legal charge.</li> </ul> The address must be confirmed to be in England, Scotland, Wales or Northern Ireland.
5.	Obligor Incorporation Date	Confirm that the date provided in the data tape aligns to the system of record.
6.	Obligor Customer Since	Confirm that the date provided in the data tape aligns to the system of record.
7.	Loan Origination Date	The loan origination date should be agreed to the data tape, primary system of record and loan agreement. The loan must be at least three months old.
8.	Final Maturity Date	The loan maturity date must be agreed to the data tape, primary system of record and loan agreement.
9.	Loan Term Months	The loan term months should be checked against the primary system of record and loan agreement.
10.	Loan Denomination Currency	The loan currency must be agreed to the system of record and loan documentation.
11.	Original Loan Balance	The amount advance on the loan must be agreed to the primary system of record for the loan and the loan documentation.
12.	Current Balance	The current loan balance must be agreed to the balance held on primary system of record for the loan and the current balance is less than or equal to the total limit.



13.	Down Payment Amount	The down payment amount on the loan must be agreed to the primary system of record for the loan and the loan documentation.
14.	Current Interest Rate	The current interest rate must be agreed to the primary system of record for the loan.
15.	Interest Rate Type	The interest rate type must be agreed to the primary system of record for the loan.
16.	Scheduled Payment Due	The next contractual scheduled payment due should be agreed to the credit agreement in the files relating to each Loan.
17.	Principal Arrears Amount	The value of principal arrears must be agreed to the primary system of record for the loan.
18.	Arrears Balance	The current arrears balance (less total payments received to date and any amounts capitalised) must be agreed to the primary system of record for the loan.
19.	Payments in Arrears	The number of payments in arrears must be agreed to the primary system of record for the loan.
20.	Balloon payment amount	The balloon payment amount must be agreed to the primary system of record for the loan and loan documentation.
21.	Scheme Type	<p>If the facility was originated under one of HM Treasury's COVID government guaranteed loan schemes (i.e. BBLs, CBILs or CLBILs), confirm the scheme type against the primary system of record and loan documentation.</p> <p>If N/A is selected as the scheme type, confirm that either a) the facility was originated under one of the government guaranteed loan schemes and the guarantee was either cancelled by the guarantor, claimed against by the lender or terminated by either party; or b) the facility was not originated under any of these schemes.</p>

### Collateral Table

No	Criterion	Level of assurance
22.	Asset Manufacturer / Model	The classification of Asset type provided in the data tape should be confirmed against the loan documentation.
23.	Year of Manufacture	The Year of manufacture provided in the data tape should be confirmed against the loan documentation.
24.	Original Valuation	The Original Valuation of the underlying asset provided in the data tape should be confirmed against the value shown in the primary system of record and valuation.
25.	Current	The Current Valuation of the underlying should be checked against the



	Valuation	primary system of record and current valuation.
26.	New / Used	The data tape should agree with the primary system of record and the loan documentation.