ENERGY MARKETS FINANCING SCHEME

Commercial Lender One-Time Application Form

(Form 3)

**Notes on this form**

* This form should only be completed by the firm that is lending to an Energy Firm (the Lender).
* For syndicate lending arrangements, you will need to agree with the Energy Firm one lead Lender to complete the Energy Markets Financing Scheme (the EMFS) forms and through which the Bank of England (the Bank) would liaise during the application process.
* Each Lender that intends to be a signatory to a guarantee is only required to complete this form and submit it to the Bank once.
* Please note all personal information collected in this form will be handled in accordance with the Bank of England's [Privacy Policy](https://www.bankofengland.co.uk/legal/privacy).
* Further information on the application process can be found on our [EMFS webpage](https://www.bankofengland.co.uk/markets/energy-markets-financing-scheme).

**Instructions for completing this form**

* The purpose of this form is to collect further details from the Lender (including SSIs for sterling payments) which the Bank/HMT needs in order to assess the Lender’s application into the EMFS scheme. It also binds the applicant to a disclosure agreement around the application process.
* Once the form has been completed, it should be sent electronically to the Bank via e-mail at EMFS-Applications@bankofengland.co.uk.

For further information on the EMFS please refer to the [Market Notice](https://www.bankofengland.co.uk/markets/market-notices/2022/october/joint-hmt-boe-emfs-market-notice-17-october-2022).

**Section 1: General questions:**

1. Name of Lender (please give the firm’s full legal name as it appears on its certificate of incorporation or equivalent document, along with any business/trading name(s)).

………………………………………………………………………

1. Name, job title, telephone number, postal address and email address of senior manager(s) responsible for the Lender’s relationship with the Bank and HMT/UKGI with regard to the EMFS.

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| --- | --- |
|  |  |
| Name: ………………………………………….. |  |
| Job Title: …………………………………………. |  |
| Telephone Number: ……………………………….. |  |
| Postal Address: |  |
| Postcode: ………………………………………. |  |
| Email Address: …………………………………………………………… |  |

1. Name, job title, telephone number, postal address and email address of individual(s) completing the form.

|  |
| --- |
| Name: ………………………………………………………. |
| Job Title: ……………………………………………………. |
| Telephone Number: …………………………………………… |
| Postal Address: |
| Postcode: ………………………………………………….. |
| Email Address: ……………………………………………………………. |

1. Name, job title, telephone number, postal address and email address of the Lender employee who will act as principal contact with the Bank/UKGI/HMT and its advisors about the EMFS.

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| --- |
| Name: ………………………………………………………. |
| Job Title: ……………………………………………………. |
| Telephone Number: …………………………………………… |
| Postal Address: |
| Postcode: ………………………………………………….. |
| Email Address: ……………………………………………………………. |

1. Address of Lender (for the purpose of correspondence on this Application Form) and address of head office where different.

|  |  |
| --- | --- |
| Address of the firm: |  |
| Address of head office where different: |  |

1. Is there an appropriate group e-mail address which can be used for EMFS-related queries? If so, please specify:

……………………………………………

1. Country or territory of incorporation of the Lender.

……………………………………………

1. Is the Lender already a participant in the Bank of England’s Sterling Monetary Framework?

……………………………………………

1. Please specify the name and position of the individual who would sign the guarantee document on behalf of the Lender in the event of a successful application.

……………………………………………

1. Is the Lender regulated by the Prudential Regulation Authority (PRA)?

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1. If yes, what is the PRA Firm Reference Number and who is the Lender’s supervisor?

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1. If no, is the Lender regulated by another G7 and/or EU supervisory authority and please supply details of the Lender’s London branch?

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**section 3: declaration**

**BY SIGNING THIS APPLICATION FORM THE LENDER DECLARES and undertakes THAT it will treat as confidential:**

1. **the details of the application process; and**
2. **the contents of ANY applications being processed on behalf of energy FIRMS;**

|  |
| --- |
| Name: ……………………………………………  Position: …………………………………………… |
| Signature: …………………………………………… |
|  |

**SEction X:**

**STANDARD SETTLEMENT INSTRUCTIONS FORM**

**Standard Settlement Instructions**

We would be grateful if you could provide some standard settlement instructions (SSIs) for sterling payments. We would use these SSIs to make any payments to your firm arising from usage of the scheme.

Sort Code:

Account Number:

Account Name:

SWIFT BIC:

IBAN:

Account Institution Name: