



Application number
(for PRA use only)

Bank Recovery and Resolution Directive Intra-Group Financial Support Agreement - Application Form

Firm name

(Full legal Name)

Firm reference number

(If applicable)

Address

Please email this form to pra-waivers@bankofengland.gsi.gov.uk , or send it to:

Assessment and Monitoring Team

The Prudential Regulation Authority
20 Moorgate
London
E2R 6DA
United Kingdom

For any questions you can call the Assessment and Monitoring team on:
+44 (0)20 3461 7000



Purpose

A BRRD undertaking should use this form to apply, to the PRA, for approval of Intra-Group Financial Support (IGFS) under the Bank Recovery and Resolution Directive (BRRD) and the PRA Rulebook: Group Financial Support.

Application

Before completing this form, applicants may find it helpful to discuss their application with an appropriate supervisory contact at the PRA. Applicants must ensure all relevant information is included in this form.

Please set out below any timing consideration the applicant would like the PRA to take into account

Contact Details

Section A

1 Contact name for this application	
2 Contact details:	
a Business address	
b Position in the firm	
c Daytime telephone number	
d Email address	
e Individual reference number (IRN), if applicable	
f Name of Approved Person responsible for this application, if applicable	



3 Please list the proposed parties to the IGFS agreement:

Firm Reference Number (If applicable)	Firm Name (Full legal name)	Relevant Competent Authority (If applicable)

Please list the contact details for relevant competent authorities:

Relevant Competent Authority	Contact Details

4 Is the applicant applying for a variation of an existing IGFS agreement previously authorised by the PRA?

Yes No

If yes, give the reference number



- 5 a) Please provide a brief description of the nature of the business of the proposed parties to the IGFS agreement and a full and clear explanation of why the applicant is applying for this approval.

- b) Please provide a substantially final draft of the proposed IGFS agreement.
- c) Please provide a full and clear explanation, including appropriate evidence that the conditions in chapter 4 of the PRA Rulebook: Group Financial Support are met.

Other information/comment



Supporting Documents

Section C

List the supporting documents that accompany this form

Documents



Warning

Knowingly or recklessly giving the PRA information, which is false or misleading in a material particular, may be a criminal offence (sections 398 and 400 of the Financial Services and Markets Act 2000). SUP 15.6.4R requires an authorised person to take reasonable steps to ensure the accuracy and completeness of information given to the FCA or the PRA and to notify the FCA or the PRA immediately if materially inaccurate information has been provided. Contravention of these requirements may lead to disciplinary sanctions or other enforcement action by the FCA or the PRA. It should not be assumed that information is known to the FCA or the PRA merely because it is in the public domain or has previously been disclosed to the FCA or the PRA or another regulatory body. If you are not sure whether a piece of information is relevant, please include it anyway.

Data Protection

For the purposes of complying with the Data Protection Act, the personal information in this form will be used by the FCA or the PRA to discharge its statutory functions under the Financial Services and Markets Act 2000 and other relevant legislation. It will not be disclosed for any other purposes without the permission of the applicant.

Declaration

By submitting this application form:

- I confirm that this information is accurate and complete to the best of my knowledge and belief and that I have taken all reasonable steps to ensure that this is the case.
- I am aware that it is a criminal offence knowingly or recklessly to give the FCA or the PRA information that is false or misleading in a material particular.

Date	
Name of first signatory ¹	
Position ² of first signatory	
Individual Registration Number (If applicable)	
Signature *	
Name of second signatory	
Position of second signatory	
Individual Registration Number (If applicable)	
Signature *	

¹ For a sole trader, the signature of the principal is required.
For a limited company, the signature of two directors or one director and the company secretary is required.
For a partnership, the signature of at least one partner is required.

² E.g. Director, Partner or Sole Trader.