



Application number  
(for PRA use only)

## Bank Recovery and Resolution Directive Notification of Proposed Group Financial Support Form

Firm name

(Full legal Name)

Firm reference number

(If applicable)

Address

**Please email this form to [pra-waivers@bankofengland.gsi.gov.uk](mailto:pra-waivers@bankofengland.gsi.gov.uk) , or send it to:**

**Assessment and Monitoring Team**

The Prudential Regulation Authority

20 Moorgate

London

E2R 6DA

United Kingdom

For any questions you can call the Assessment and Monitoring team on:

+44 (0)20 3461 7000



**Purpose**

A BRRD undertaking should use this form to notify the PRA of an intention to provide financial support in accordance with an intra-group financial support (IGFS) agreement.

**Application**

Before completing this form, applicants may find it helpful to discuss their notification with an appropriate supervisory contact at the PRA. Applicants must ensure all relevant information is included in this form.

Please set out below any timing consideration the applicant would like the PRA to take into account

**Contact Details** **Section A**

- 1 Contact name for this application
  
- 2 Contact details:
  - a Business address
  - b Position in the firm
  - c Daytime telephone number
  - d Email address
  - e Individual reference number (IRN), if applicable
  - f Name of Approved Person responsible for this application, if applicable
  
- 3 Please list the parties to the IGFS agreement:



**BANK OF ENGLAND  
PRUDENTIAL REGULATION  
AUTHORITY**

<b>Firm Reference Number</b> (If applicable)	<b>Firm Name</b> (Full legal name)	<b>Relevant Competent Authority</b> (If applicable)

Please list the contact details for relevant competent authorities:

<b>Relevant Competent Authority</b>	<b>Contact Details</b>



- 4 a) Please provide details of the proposed IGFS

- b) Please provide an explanation of the reasoned decision of the management body to provide the IGFS

- c) Please provide a full and clear explanation, including appropriate evidence that the conditions in chapter 4 of the PRA Rulebook: Group Financial Support are met

- d) Please enclose with this notification a copy of the IGFS agreement

Other information (please specify)



**Supporting Documents**

**Section C**

List the supporting documents that accompany this form

<b>Documents</b>



**Warning**

Knowingly or recklessly giving the PRA information, which is false or misleading in a material particular, may be a criminal offence (sections 398 and 400 of the Financial Services and Markets Act 2000). SUP 15.6.4R requires an authorised person to take reasonable steps to ensure the accuracy and completeness of information given to the FCA or the PRA and to notify the FCA or the PRA immediately if materially inaccurate information has been provided. Contravention of these requirements may lead to disciplinary sanctions or other enforcement action by the FCA or the PRA. It should not be assumed that information is known to the FCA or the PRA merely because it is in the public domain or has previously been disclosed to the FCA or the PRA or another regulatory body. If you are not sure whether a piece of information is relevant, please include it anyway.

**Data Protection**

For the purposes of complying with the Data Protection Act, the personal information in this form will be used by the FCA or the PRA to discharge its statutory functions under the Financial Services and Markets Act 2000 and other relevant legislation. It will not be disclosed for any other purposes without the permission of the applicant.

**Declaration**

By submitting this application form:

- I confirm that this information is accurate and complete to the best of my knowledge and belief and that I have taken all reasonable steps to ensure that this is the case.
- I am aware that it is a criminal offence knowingly or recklessly to give the FCA or the PRA information that is false or misleading in a material particular.

Date	<input type="text"/>
Name of first signatory <sup>1</sup>	<input type="text"/>
Position <sup>2</sup> of first signatory	<input type="text"/>
Individual Registration Number (If applicable)	<input type="text"/>
Signature	<input type="text"/>
Name of second signatory	<input type="text"/>
Position of second signatory	<input type="text"/>
Individual Registration Number (If applicable)	<input type="text"/>
Signature	<input type="text"/>

<sup>1</sup> For a sole trader, the signature of the principal is required.  
For a limited company, the signature of two directors or one director and the company secretary is required.  
For a partnership, the signature of at least one partner is required.

<sup>2</sup> E.g. Director, Partner or Sole Trader.