



Annex III

Notification of information on changes to the membership of the management body

Reference number:

Date:

FROM:

Name of the applicant:

Address:

Contact details of the designated contact person¹

Name:

Telephone:

Email:

TO:

Competent Authority:

Address:

Contact details of the designated contact point if relevant

Address:

Telephone:

Email:

¹ This should be the contact person at the applicant firm.

Dear [insert appropriate name]

In accordance with Article 4 of the Commission Implementing Regulation (EU) No .../...laying down implementing technical standards with regard to standard forms, templates and procedures for notification or provision of information provided for in Article 7(5) of Directive 2014/65/EU to ensure uniform conditions of application of Article 9(5), kindly find attached the notification request.

Person in charge of preparing the application

Name:

Status/position:

Telephone:

Fax (if available):

E-mail:

Information on member(s) leaving the management body

Member 1

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

Member 2

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

Member 3

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

Information on new member(s) of the management body

Member 1

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information]

Member 2

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information]

Complete updated list of members of the management body

Name	Position	Effective Date

Please provide:

- Minutes of the general meeting acting the nomination of the new member of the management body
- Minutes of the general meeting of the management body acting the nomination of the new members.