

BANK OF ENGLAND PRUDENTIAL REGULATION AUTHORITY

Modification by Consent of SUP 16.12.5R (Note 5); SUP 16.12.11BR (Note 3); SUP 16.12.15BR (Note 3); SUP 16.12.22CR (Note 3); and SUP 16.12.25CR (Note 3)

This modification will allow firms that have a CRR IMA Permission not to submit Form FSA006 where the information contained in Form FSA006 is already supplied as a requirement of the firms' IMA Permission

What is the purpose of the modification?

This modification removes the requirement for the firms to supply two sets of the same information in different formats.

Which firms does this apply to?

This modification will apply to any firm that has a CRR IMA Permission.

What does this mean for firms?

The practical effect of the modification is that a firm which has a CRR IMA Permission and which supplies information in accordance with appendix 2 of that permission will not be required to complete Form FSA006.

The modification is valid until 30 June 2019; or the date the relevant rule is amended or no longer applies to the firm (in whole or in part).

The modification is set out in the Direction available on the waivers by consent web page.

If you want to take advantage of this modification, you should read the direction above and contact the Assessment and Monitoring Team with your request for the modification.

Assessment and Monitoring Prudential Regulation Authority 20 Moorgate London EC2R 6DA <u>PRA-Waivers@bankofengland.co.uk</u>

We will write to you to confirm whether we have granted your request and we will publish the approved modifications on our website.

December 2014