

# Bank of England

## Prudential Regulation Authority

### Supplementary information for applications for a single own risk and solvency assessment report

|                                              |  |
|----------------------------------------------|--|
| Group name                                   |  |
| Firm reference number (if applicable)        |  |
| Date of application                          |  |
| Address                                      |  |
| <b>Application number (for PRA use only)</b> |  |

The information below should be submitted by firms to allow the PRA to consider an application for a single own risk and solvency assessment (ORSA) report. Firms should submit this information with the [waivers/modifications application form](#) to [PRA-waivers@bankofengland.co.uk](mailto:PRA-waivers@bankofengland.co.uk).

|                                                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. In addition to the group ORSA, please provide the names and the registration number (firm reference) for the firms you are seeking permission to include the single ORSA report?                                                                                                                        |
|                                                                                                                                                                                                                                                                                                            |
| 2. Please provide the following financial information, on a Solvency II basis, for the group as a whole and the firms listed in (1) on a solo basis: total assets (assets), total liabilities (liabilities), technical provisions (TPs), eligible own funds (EOF) and solvency capital requirements (SCR). |
|                                                                                                                                                                                                                                                                                                            |

3. Please explain why you think it is appropriate to submit a single ORSA report as opposed to making separate ORSA submissions at the solo level to the local supervisors.

4. Please specify how the group will ensure that the single ORSA report accurately reflects the ORSA findings of the firms listed in (1).

5. Please outline the group governance process, in particular how the group board ensures that the results of each subsidiary concerned are individually identifiable in the single ORSA report to enable a proper supervisory review process to be carried out at the individual level by the individual supervisors concerned.

6. Please outline the solo governance process, in particular how the solo board ensures that its ORSA findings are accurately reflected in the single ORSA report and provide a useful overview for its solo supervisor.

7. Do you intend to submit a draft single ORSA report with your application? If yes, please indicate the basis on which it has been prepared (e.g. preparatory phase) and highlight any assumptions / simplifications you may have made in the preparation of this document.