**Supplementary information for applications to use** **exclusion from scope**

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| Firm name |  | |
| Firm reference number |  | |
| Date of application |  | |
| Address |  | |
| Application number  (for PRA use only) | | |  |

The information below should be submitted by firms to allow the PRA to consider an application to apply to exclude entities from the scope of group supervision. Firms should submit this information with the Solvency II waiver application form to [PRA-Waivers@bankofengland.co.uk](mailto:PRA-Waivers@bankofengland.co.uk).

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| 1. Name of the group |
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| 1. Is the parent undertaking outside the European Economic Area? If yes, where is it based? |
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| 1. Name of the entity to be excluded from scope: |
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| 1. Where is the head office of this entity based? |
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| 1. Entity background (e.g. size, business description, relationship with rest of the entities in the group, brief description of financial position etc.) |
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| 1. Indicate whether you are applying to exclude this entity from scope under reason a), b) or c) as specified in the Solvency II Directive, Article 214(2). |
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| If a), please specify the details of the legal impediment to the transfer of necessary information.  Where appropriate, please provide a legal opinion of meeting this criterion.  Please comment on whether you have considered Article 229 of the Solvency II Directive, and whether this would be more appropriate than exclusion from scope of group supervision. Please note that the use of this criterion doesn’t remove the need for the group to appropriately account for the risks relevant to the excluded entity in the group own risk and solvency assessment (ORSA). |
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| If b), please provide details of why the undertaking is negligible, including the materiality of the undertaking to the group.  Include details on:   * Effect on group capital if entity is excluded * Materiality in terms of size * Volume and nature of intra-group transactions between this entity and the group * By excluding this entity, will the existence of the group be removed altogether? |
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| If c), please provide details of why inclusion of the undertaking would be inappropriate or misleading with respect to group supervision.  Include details on:   * Effect on group capital if entity is excluded * Details and evidence of why it would be inappropriate or misleading * Volume and nature of intra-group transactions between this entity and the group |
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