



## National specific template Log NS.00 – Content of the submission & Basic Information

This log file provides instructions to complete the set of NS.00 templates. The set of templates can be split into two types: content of the submission and basic information.

### Content of the submission

The content of the submission templates have the following entry points: NS.00.01.01, NS.00.01.03 and NSR.00.01.01.

NS.00.01.01 is applicable to all firms excluding the Society of Lloyds

NS.00.01.03 is applicable to the Society of Lloyds only

NSR.00.01.01 is applicable to firms with ring fenced funds and matching adjustment portfolios. All ring-fenced funds and matching portfolios shall be identified regardless if they are material for the purposes of submission of information unless otherwise stated.

For each data point in the content of submission templates, one of the options in the following closed list shall be used:

- Reported
- Not reported

### Basic Information

The Basic Information templates have the following entry points: NS.00.02.01 and NS.00.03.01. The requirements are specified in the table below.

NS.00.02.01 is applicable to all firms including the Society of Lloyds

NS.00.03.03 is applicable to firms with ring fenced funds and matching adjustment portfolios.

All ring-fenced funds and matching adjustments portfolios shall be reported. In case a ring-fenced fund has a matching portfolio not covering the full RFF three funds have to be identified, one for the RFF, other for the MAP inside the RFF and other for the remaining part of the fund (vice-versa for the situations where a MAP has a RFF).



<u>CELL(S)</u>	<u>ITEM</u>	<u>INSTRUCTIONS</u>
N/A	<b>NS.00.02.01 Basic information</b>	
<u>NS.00.02.01.R0010</u> <u>C0010</u>	<u>Undertaking name</u>	<u>Legal name of the undertaking. Needs to be consistent over different submissions</u> <u>This must be the same as the identification code reported in the Implementing Technical Standard Reporting template S.01.02</u>
<u>NS.00.02.01.R0020</u> <u>C0010</u>	<u>Undertaking identification code</u>	<u>Identification code of the undertaking, using the following priority:</u> <u>- Legal Entity Identifier (LEI)</u> <u>- Identification code attributed by the PRA</u>  <u>This must be the same as the undertaking identification code reported in template S.01.02 as specified in the Implementing Technical Standard on Reporting</u>
<u>NS.00.02.01.R0040</u> <u>C0010</u>	<u>Reporting reference date</u>	<u>Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period</u>
<u>NS.00.02.01.R0050</u> <u>C0010</u>	<u>Reporting submission date</u>	<u>Identify the ISO 8601 (yyyy-mm-dd) code of the date when the report to the supervisory authority is made</u>
<u>NS.00.02.01.R0060</u> <u>C0010</u>	<u>Type of undertaking</u>	<u>Identify the type of the reporting undertaking. The following closed list of options shall be used to identify the activity of the undertaking:</u> <u>1 - Composite undertakings</u> <u>2 – Life undertaking</u> <u>3 - Non-Life undertakings</u>  <u>This must be the same as the type of undertaking reported in the template S.01.02 as specified in the Implementing Technical Standard on Reporting</u>
<u>NS.00.02.01.R0070</u> <u>C0010</u>	<u>Regular / ad-hoc submission</u>	<u>Identify if the submission of information relates to regular submission of information or ad-hoc. The following closed list of options shall be used:</u> <u>1 - Regular reporting</u> <u>2 - Ad-hoc reporting</u>
<u>NS.00.02.01.R0080</u> <u>C0010</u>	<u>Currency used for reporting</u>	<u>Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used.</u>
<u>NS.00.02.01.R0090</u> <u>C0010</u>	<u>Accounting standards</u>	<u>Identification of the accounting standards used. The following closed list of options shall be used:</u> <u>1 - The undertaking is using IFRS</u>



<u>CELL(S)</u>	<u>ITEM</u>	<u>INSTRUCTIONS</u>
		<u>2 - The undertaking is using local GAAP (other than IFRS)</u>
<u>NS.00.02.01.R0100 C0010</u>	<u>Initial submission or re-submission</u>	<p><u>Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used:</u></p> <p><u>1 – Initial submission</u></p> <p><u>2 – Re-submission</u></p>
<u>N/A</u>	<b><u>NSR.00.01.01 Basic information - RFF and matching adjustment portfolios</u></b>	
<u>NS.00.03.01.R0010 C0010</u>	<u>Fund /Portfolio Number</u>	<u>Number which is attributed by the undertaking, corresponding to the unique number assigned to each ring fenced fund and matching adjustment portfolio. This number has to be consistent over time and should be used to identify the ring fenced funds and the matching portfolio number in other templates.</u>
<u>NS.00.03.01.R0020 C0010</u>	<u>Name of ring-fenced fund/Matching adjustment portfolio</u>	<p><u>Indicate the name of the ring fenced fund and matching adjustment portfolio.</u></p> <p><u>When possible (if linked to a commercial product) the commercial name should be used. If not possible, e.g. if the fund is linked to several commercial products, a different name shall be used.</u></p> <p><u>The name shall be unique and be kept consistent over time.</u></p>
<u>NS.00.03.01.R0030 C0010</u>	<u>RFF/MAP/Remaining part of a fund</u>	<p><u>Indicate if it is a ring fenced fund or a matching portfolio. In the cases where other funds are included within one fund this cell should identify the type of each fund or sub-fund. One of the options in the following closed list shall be used:</u></p> <p><u>1 – Ring-fenced fund</u></p> <p><u>2 – Matching portfolio</u></p> <p><u>3 – Remaining part of the business</u></p>