

Bank of England PRA

Appendix 7 to CP14/26 – Review of the Senior Managers and Certification Regime (SM&CR) – Phase 2

Consultation paper | CP14/26

October 2026

Draft for consultation



Overview of impacted forms (application and notification)

Due to the PRA's intention to remove reference to specific forms in the PRA Rulebook, details on how the forms will be amended have not been included as part of this consultation. However, Table B details the forms that are proposed to be amended or deleted by the proposals in CP14/26.

Table B: Summary of forms impacted by this policy package

Forms to be amended	Forms to be deleted
Form A (long) - Application to perform controlled function(s).	Form F – Changes in notified persons.
Form A (short) - Application to perform controlled function(s).	Form J – Notification of significant changes in responsibilities of a Senior Management Function(s).
Form B – Notice to withdraw an application to perform controlled function(s).	Form M – Notification of non-executive director or key function holder.
Form C – Notice of ceasing to perform controlled function(s).	
Form D – Changes to personal information/application details and conduct breaches/disciplinary action related to conduct.	
Form E – Internal transfer of an approved person	
Form I – Application for the variation of a conditional approval for the performance of a Senior Management Function(s)	

Form L - Notifications of breach of conduct rules and related disciplinary action in relation to a person performing a certification function, a Conduct Rules non-executive director or temporarily performing a SMF under the 12-week rule.	
SoR – Statement of Responsibilities.	

Draft for consultation