



**PS31/17 'Responses to CP18/17 Occasional Consultation Paper–Chapters 7 and 8': Appendix 4
Forms Directory**

Authorisations Forms

Application Form for banks can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/application-form-for-banks>

Application form for banks – notes can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/application-form-for-banks-notes>

Controllers appendices – Corporate, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/change-in-control/for-a-proposed-controller-that-is-either-a-limited-company-or-a-limited-liability-partnership>

Controllers appendices – Partnership, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/change-in-control/for-a-proposed-controller-that-is-a-partnership>

Supplement for insurance companies, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/supplement-for-insurance-companies>

Supplement for insurance companies – notes, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/supplement-for-insurance-companies-notes>

CRR Permission Form can be found here:

<https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/capital-requirements-regulation-permissions/crr-permission-general-application-form>

Supplement for Lloyd's managing agents, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/supplement-for-lloyds-managing-agents-15-12-2017>

Supplement for Lloyd's managing agents – notes, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/supplement-for-lloyds-managing-agents-notes-15-12-2017>

Owners and influencers appendix, can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/new-firm-authorisation/owners-and-influencers-appendix>

MiFID II Forms

The ESMA template can be found here:

<https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/esma-template>

The MiFID Article 4 SMR Information Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/mifid-article-4-smr-information-form>



Form A

Long Form A – UK Relevant Authorised Persons and Third Country Relevant Authorised Persons only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-raps-15-12-2017>

Long Form A Solvency II firms only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-solvency-II-firms-only-15-12-2017>

Short Form A – Solvency II firms only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-solvency-II-firms-only-15-12-2017>

Short Form A – Large non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-large-non-directive-insurers-only-15-12-2017>

Short Form A – UK Relevant Authorised Persons and Third Country Relevant Authorised Persons only can be found here:

<https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-raps-15-12-2017>

Long Form A – Large non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-large-non-directive-insurers-only-15-12-2017>

Short Form A – Small non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-small-non-directive-insurers-only-15-12-2017>

Long Form A Small non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-small-non-directive-insurers-only-15-12-2017>

Form E

Form E – Internal transfer of an approved person for Solvency II firms only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/internal-transfer-of-approved-person-for-solvency-II-firms-only-15-12-2017>

Form E – Internal transfer of an approved person for large non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/internal-transfer-of-an-approved-person-for-large-non-directive-insurers-only-15-12-2017>

Form E – Internal transfer of an approved person small non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/internal-transfer-of-an-approved-person-for-small-non-directive-insurers-only-15-12-2017>



Waiver forms

Standard Waiver Application Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/waivers-and-modifications-of-rules/standard-waiver-application-form>

Passporting Forms

Branch Notification Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/passporting/branch-notification-form-21-12-2017>

Cross Border Services Notification Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/passporting/cross-border-services-notification-form-21-12-2017>

Form changes associated with CP4/18 “Insurance Distribution Directive: change to commencement date”.

Form A

Short Form A – UK Relevant Authorised Persons and Third Country Relevant Authorised Persons only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-raps-22-2-2018>

Long Form A – Large non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-large-non-directive-insurers-only-22-2-2018>

Form E

Form E – Internal transfer of an approved person for large non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/internal-transfer-of-an-approved-person-for-large-non-directive-insurers-only-22-2-2018>

Form E – Internal transfer of an approved person small non-directive insurers only can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/internal-transfer-of-an-approved-person-for-small-non-directive-insurers-only-22-2-2018>

Passporting Forms

Branch Notification Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/passporting/branch-notification-form-22-2-2018>

Cross Border Services Notification Form can be found here: <https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/passporting/cross-border-services-notification-form-22-2-2018>