

The following forms were first published alongside <u>PS15/18 'Strengthening individual accountability in insurance: Extension of the Senior Managers and Certification Regime'</u>, and will go live on the <u>'SMR approvals'</u> page on 10 December 2018.

PS15/18 'Strengthening individual accountability in insurance: Extension of the Senior Managers & Certification Regime': Appendix 4 Forms Directory	
Form	Description
Long Form A	Application to perform senior management functions: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/long-form-a-july-2018
Short Form A	Application to perform senior management functions: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/short-form-a-july-2018
Form B	Notice to withdraw an application to perform senior management functions: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/Form-B-July-2018
Form C	Notice of ceasing to perform senior management function: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-c-july-2018
Form D	Changes to personal information/application details and conduct breaches/disciplinary action related to conduct: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-d-july-2018
Form E	Internal transfer of a senior manager: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-e-july-2018
Form I	Application for the variation of a conditional approval for the performance of a senior management function: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-i-july-2018
Form J	Notification of significant changes in responsibilities of a senior management function: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-j-july-2018
Form L	Notification of disciplinary action in relation to an employee performing a certification function: https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/authorisations/senior-managers-and-senior-insurance-managers-regimes-approvals/form-l-july-2018
Form M	Notification of Non- Executive Director (NED) or Key Function Holder (KFH):

	approvals/form-m-july-2018
Statement of Responsibilities	Statement of Responsibilities:

July 2018