Bank of England PRA

Chapter 10

Instructions regarding reporting templates

IR.01.02 – Basic information

General comments

This section relates to quarterly and annual submission of information for individual entities, groups and third country branches.

	ITEM	INSTRUCTIONS
C0010/R0010	Entity name	Legal name of the entity Third country branches should report the legal name of the third country undertaking.
C0010/R0015 (third country branches only)	Third country branch name	Legal name of the third country branch
C0010/R0025	Entity identification code and type of code	Identification code and type of code for the entity. In order of priority, use: - Legal Entity Identifier (LEI), unless the entity is a Lloyd's Syndicate when (LSY) should take priority - Lloyd's Syndicate Code (LSY) - Specific code attributed by the undertaking (SC) A specific code should only be used where an LEI code has not been published for the entity or where the entity is not a Lloyd's Syndicate. In case a specific code is attributed by the undertaking, the code shall be unique for the entity and shall not overlap with any other code attributed by the undertaking or any published LEI or Lloyd's Syndicate 4-digit numeric code. The type of code used and the identification code should be reported as a single cell of data separated by a /, for example: LEI/XXXXXXXXXXXXXXXXXXXX (i.e. 20 alpha numeric code LSY/XXXX(ie 4 numeric code)

		SC/XXXXXXXXXXXXXXXXX
C0010/R0045 (individual entities and third country branches only)	Type of undertaking	Identify the type of the reporting undertaking. The following closed list of options shall be used to identify the activity of the undertaking (third country branches should identify the activity of the branch): 2 — Life undertakings 3 — Non–Life undertakings 4 — Undertakings pursuing both life and non–life insurance activity (where the activities include only those set out in paragraph 2.3 of Supervisory Statement (SS) 8/15 – Solvency II: the treatment of pension scheme risk) 5 — Undertakings pursuing both life and non–life insurance activity that are not captured by option 4 6 — Reinsurance undertakings
C0010/R0052	Country of incorporation	Identify the ISO 3166–1 alpha–2 code of the country where the entity is incorporated (Home–country)
C0010/R0055	Country of the group supervisor	Identify the ISO 3166-1 alpha–2 code of the country of the group supervisor or "Not applicable"
C0010/R0060 (groups only)	Sub-group information	Identify if the information relates to a sub–group in accordance with Group Supervision. One of the options in the following closed list shall be used: • 1 — No sub–group information • 2 — Sub–group information
C0010/R0070	Language of reporting	Identify the 2 letter code of ISO 639–1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy–mm–dd) code of the date when the reporting to the supervisory authority is made
C0010/R0081	Financial year end	Identify the ISO 8601 (yyyy–mm–dd) code of the financial year end of the undertaking, e.g. 2017-12-31
C0010/R0090	Reporting reference date	Identify the ISO 8601 (yyyy–mm–dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad–hoc submission	Identify if the submission of information relates to regular submission of information or ad–hoc. The following closed list of options shall be used: 1 — Regular reporting 2 — Ad–hoc reporting 3 — Re-submission of IR.30 templates in accordance with instructions of the template 4 — Empty submission

C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report
C0010/R0120	Accounting standards	Identification of the accounting standards used for reporting items in IR.02.01, financial statements valuation. The following closed list of options shall be used: 1 — The undertaking is using International Financial Reporting Standards ('IFRS') 2 — The undertaking is using local generally accepted accounting principles ('GAAP') (other than IFRS)
C0010/R0130 (individual entities and groups only)	Method of Calculation of the SCR	Identify the method used to calculate the SCR. The following closed list of options shall be used: 1 — Standard formula 2 — Partial internal model 3 — Full internal model
C0010/R0140 (individual entities and groups only)	Use of undertaking specific parameters	Identify if the undertaking is reporting figures using undertaking specific parameters. The following closed list of options shall be used: 1 — Use of undertaking specific parameters 2 — Don't use undertaking specific parameters
C0010/R0150	Ring–Fenced Funds	Identify if the undertaking is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used: 1 — Reporting activity by RFF 2 — Not reporting activity by RFF
C0010/R0170	Matching adjustment	Identify if the undertaking is reporting figures using the matching adjustment. The following closed list of options shall be used: 1– Use of matching adjustment 2 — No use of matching adjustment
C0010/R0180	Volatility adjustment	Identify if the undertaking is reporting figures using the volatility adjustment. The following closed list of options shall be used: 1– Use of volatility adjustment 2 — No use of volatility adjustment
C0010/R0190 (individual entities and groups only)	Transitional measure on the risk–free interest rate	Identify if the undertaking is reporting figures using the transitional adjustment to the relevant risk-free interest rate term structure. The following closed list of options shall be used: 1 — Use of transitional measure on the risk–free interest rate 2 — No use of transitional measure on the risk–free interest rate
C0010/R0200 (individual entities and groups only)	Transitional measure on technical provisions	Identify if the undertaking is reporting figures using the transitional deduction to technical provisions. The following closed list of options shall be used: 1 — Use of transitional measure on technical provisions 2 — No use of transitional measure on technical provisions

C0010/R0210	Initial submission or re–submission	Identify if it is an initial submission of information or a re–submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used: 1 — Initial submission 2 — Re–submission
C0010/R0250 (individual entities and groups only)	Exemption of reporting ECAI information	One of the options in the following closed list shall be used: 1 — Exempted for assets (based on article 35(6) and (7)) 2 — Exempted for assets (based on outsourcing) 3 — Exempted for derivatives (based on article 35(6) and (7)) 4 — Exempted for derivatives (based on outsourcing) 5 — Exempted for assets and derivatives (based on article 35(6) and (7)) 6 — Exempted for assets and derivatives (based on outsourcing) 0 — Not exempted